



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
NEW YORK REGIONAL OFFICE  
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November 19, 2015

**By ECF**

The Honorable Laura Taylor Swain  
United States District Court  
Southern District of New York  
500 Pearl Street  
New York, New York 10007-1312

MEMO ENCLOSED

**Re: Securities & Exchange Commission v. Eric Lipkin, 11 Civ. 3826 (S.D.N.Y.)**  
**Securities & Exchange Commission v. Enrica Cotellessa-Pitz, 11 Civ. 9302 (S.D.N.Y.)**

Dear Judge Swain:

I am one of the attorneys representing the Securities and Exchange Commission (the "Commission") in the actions captioned above. I write in response to Your Honor's orders, dated November 5, 2015, requesting a status report in these actions.

The Commission staff recently received a signed consent from one of the defendants in these actions to a proposed final judgment and anticipates receiving a consent shortly from the other defendant. The staff is planning on recommending to the Commission that it authorize the staff to submit to the Court the proposed final judgments on consent. If authorized by the Commission, the staff will promptly submit for the Court's review the proposed final judgments and defendants' consents. If entered, the proposed final judgments will fully resolve these actions.

Accordingly, we respectfully request that the Court return these actions to the active calendar, and will endeavor to conclude them promptly.

*The above actions are returned  
to the active calendar. The  
SEC must make a further  
status report by December 31, 2015,  
if the cases have not been  
resolved by that date.*

Respectfully submitted,

*Kristine M. Zaleskas*  
Kristine M. Zaleskas  
Senior Attorney

cc: James Filan, Esq. (counsel for Mr. Lipkin) (by ECF/email)  
David M. Rody, Esq. (counsel for Ms. Cotellessa-Pitz) (by ECF/email)

**SO ORDERED:**

*[Signature]* 11/23/15  
HONORABLE LAURA TAYLOR SWAIN  
JUDGE